



Stirlingshire

Better for Clients + Better for Advisors

NF-MDN-02-1120

Form CRS

Stirlingshire BD LLC.

Item 1 Introduction

9/22/2021

Stirlingshire BD LLC. ("Stirlingshire") is registered with the Securities and Exchange Commission as a Broker-Dealer and is a member of FINRA and the Securities Investor Protection Corporation.

Brokerage and investment advisory services and fees differ, and it is important for you to understand the differences. The SEC offers free and simple tools to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/crs) which also provides information tailored to educate retail investors about financial professionals.

Item 2 Relationships and Services

What investment services and advice can you provide me?

Our firm offers brokerage services to retail investors including buying and selling securities and Put and Call broker or dealer or option writer.

Our firm provides non-discretionary accounts for accredited investors the following services. If you invest on a non-discretionary basis, our firm will buy and sell investments in your accounts which require your pre-approval on an ongoing basis.

For additional information including minimum investment amounts, please email info@stirlingshire.com for our Fee Schedule and our Regulation Best Interest Disclosures.

Conversation starters.

Ask your financial professional: – Given my financial situation, should I choose a brokerage service? Why or why not? How will you choose investments to recommend to me? – What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

Item 3 Fees, Costs, Conflicts and Standard of Conflict

What fees will I pay?

Our fees are charged on a trade-by-trade basis on broker-initiated transactions that the client executes, these fees are a percentage of the principal amount of the trade. Additionally, we charge fees for margin interest, and stock loan. Some fees create a conflict of interest described below.

- If you use margin, you could be exposed to more risk, and we could make more money.
- Depending on how often you trade your account you might incur larger fees if you buy and sell securities more often.

There potentially could be additional fees or costs in the future related to our services if we deem it necessary to pass on clearing cost.

Additional Information:

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For more detailed information about our fees and costs please request our Regulation BI disclosure and Fee Schedule at info@stirlingshire.com.

Conversation starters.

Ask your financial professional: – Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?

When we provide you with a recommendation, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations we provide you. Here are some examples to help you understand what this means.

- Our firm makes money by offering products and services to you that we in turn earn money on and therefore have an incentive to sell these products to you.

Conversation starters.

Ask your financial professional: – How might your conflicts of interest affect me, and how will you address them?

Additional information:

For more detailed information about our fees and costs please request our Regulation BI disclosure and Fee Schedule info@stirlingshire.com.

How do your financial professionals make money?

- Our financial professionals can charge a commission per trade for their services.

How does the firm make money?

- We make money from the ticket charges your broker pays to us when you execute on a trade idea that they have sent to you via the app or web platform. We also make money on margin interest, stock loan, and on credit balances.

Item 4 Disciplinary History

Do you or your financial professionals have legal or disciplinary history?

No, our firm does not have any disciplinary history addressed in our Form BD. We do have registered representative that on an individual basis have disciplinary history. Visit Investor.gov/CRS, or FINRA Broker Check on the FINRA website, for a free and simple search tool to research our firm and our financial professionals.

Conversation Starters.

Ask your financial professional: – As a financial professional, do you have any disciplinary history? For what type of conduct?

You can find additional information about our services and request a copy of the relationship summary by emailing info@stirlingshire.com .or calling us at 800-221-3242.

Conversation starters. Ask your financial professional: – Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?