



Stirlingshire

Better for Clients ♦ Better for Advisors F

Fee Schedule 04/22/2025

Stirlingshire Self-Directed Commissions	
US Listed & OTC Securities	\$0 commission for Stirlingshire BD LLC, on self-directed trades.

Equities Trading		
Item	Charged By	Fee
Clearing Fee	Passthrough from APEX	\$0.15 per trade
Managed execution	Passthrough from APEX	\$0.0003 per share
Options Trading		
Item	Charged by	Fee
Execution	Passthrough from APEX	\$0.15 per trade & \$0.03 per contract
Options Regulatory Fee (ORF)	Passthrough from APEX	\$0.01925

Mutual funds		
Item	Charged by	Fee
Fund/Serv Eligible	Passthrough from APEX	\$20.00 per trade
NON-Fund/Serv Eligible	Passthrough from APEX	\$25.00 per trade
NTF Funds	Passthrough from APEX	\$0.00 per trade
Exchanges	Passthrough from APEX	\$20.00 per trade
PIPs/SWPs	Passthrough from APEX	\$5.00 per trade
Unit investment Trusts	Passthrough from APEX	\$20.00 per trade

Transferring Funds	
Bank Transfer (ACH) - Incoming	\$0.00
Bank Transfer (ACH) - Outgoing	\$0.00
Domestic Wire Transfer	\$30.00 Passthrough from Apex
Domestic Overnight Check Delivery	\$35.00 Passthrough from Apex
Returned Check, ACH, Wire & Recall/Stop Payments	\$30.00 Passthrough from Apex
Automated Customer Account Transfer Service	
ACAT-Incoming	\$0.00
ACAT-Outgoing	\$75.00 Passthrough from Apex
Account maintenance fees	
Retail Account Yearly Maintenance Fee	\$0.00
Retirement Account Annual Fee	\$15.00 Passthrough from Apex
Retirement Account Termination Fee	\$60.00 Passthrough from Apex
Electronic Statement & Confirms	\$0.00
Paper Statements	\$5.00 Passthrough from Apex
Paper Confirms	\$2.00 Passthrough from Apex
Domestic Overnight Mail	\$35.00 Passthrough from Apex
International Overnight Mail	\$50.00 Passthrough from Apex
Regulatory trading fees	
Regulatory	\$5.10 per \$1,000,000 of principal (sells only), rounded up to the nearest penny.
TAF	\$0.000119 per share (equity sells) and \$0.002 per contract (option sells). This fee is rounded up to the nearest penny and no greater than \$5.95.

Margin Rate			
Fed Funds Rate + 7.74%			
Tech Fee			
Items	Charged By	Occurrence	Fee
Platform Fee	Stirlingshire	Monthly	\$20.00

Full-Service Commission Schedule (Rep Initiated Trade Ideas) APPLIES ONLY TO Stirlingshire Brokerage Clients	
Registered Rep Initiated transactions	All Commissions are fully negotiable between the Client and the Rep. Commissions only apply on trades the Rep is executing for the Client. All self-directed trades are 0%. (Percentages below are of total trade value) Position opening : 0% Position Closing (Losing trade) : 0% Profitable Closing trade : 0% to a Max of 3.5% Profits to clients on sell-side must always be larger than commission.